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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

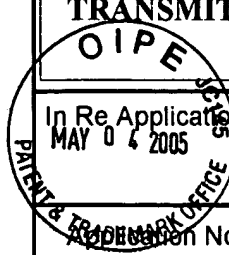
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

02090CIP

In Re Application Of: Alexander I. Shakhnovich

MAY 04 2005



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/758,888	January 16, 2004	Veronica F. Faison		1755	7005

Title: **METHODS OF PREPARING AQUEOUS COLORED PIGMENT DISPERSIONS, AND INKJET INK COMPOSITIONS**

Address to:  
Commissioner for Patents  
P.O. Box 1460  
Alexandria, VA 22313-1460

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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02090CIP

In Re Application: **Alexander I. Shakhnovich**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/758,888	January 16, 2004	Veronica F. Faison		1755	7005

Title: **METHODS OF PREPARING AQUEOUS COLORED PIGMENT DISPERSIONS, AND INKJET INK COMPOSITIONS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 03-0060 as described below.
- ☒ Charge the amount of \$180.00
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on \_\_\_\_\_

May 2, 2005

\_\_\_\_\_  
(Date)

*Lyn M. Coven*

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Lyn M. Coven

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Dated: May 2, 2005

*Michelle B. Lando*  
Signature  
**Michelle B. Lando**  
Reg. No. 33,941  
Cabot Corporation  
Law Department  
157 Concord Road  
Billerica, MA 01821

CC:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alexander I. Shakhnovich

Application No.: 10/758,888

Filed: January 16, 2004

For: **METHODS OF PREPARING AQUEOUS COLORED PIGMENT  
DISPERSIONS, AND INKJET INK COMPOSITIONS**

Group Art Unit: 1755

Examiner: Veronica F. Faison

Confirmation No.: 7005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 C.F.R §§ 1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Information Disclosure Statement.

*Section 1. Preliminary Statements*

Applicants submit herewith patents, publications, or other information on the attached form PTO-1449 (modified) of which they are aware, which they believe may be material to the patentability of the above-identified application and in respect of which there may be a duty to disclose. These references also include a copy of the International Search Report and Written Opinion for the corresponding International Application No. PCT/US2004/001113, as well as the cited references not already submitted.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

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The order of presentation of the references should not be construed as an indication of the importance of the references.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

Legible copies of **all** items listed on the attached form PTO-1449 (modified) are enclosed. It is respectfully requested that:

1. The Examiner considers completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding the above, the Examiner is urged to form his/her own conclusions regarding the relevance of each cited reference. An early and favorable action is respectfully requested.

Respectfully submitted,



Date: May 2, 2005

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Michelle B. Lando  
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CABOT CORPORATION  
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**FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**

**ATTY DOCKET NO.****SERIAL NO.**

02090CIP

10/758,888

**APPLICANT**

Alexander I. Shakhnovich

**FILING DATE**

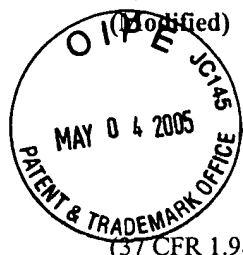
January 16, 2004

**GROUP**

1755

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use Several Sheets if necessary)



(37 CFR 1.98(b))

**U.S. PATENTS AND PUBLICATIONS**

Examiner Initials	*	Patent or Publication No.	Date	Patentee	Class	Subclass	Filing Date If Appropriate
		3,776,749	12/73	McKay et al.	106	288Q	
		4,946,509	8/90	Schwartz et al.	106	496	
		5,281,261	1/94	Lin et al.	106	20R	
		5,418,277	5/95	Ma et al.	524	520	
		5,554,739	9/96	Belmont	534	885	
		5,571,311	11/96	Belmont	106	20R	
		5,630,868	5/97	Belmont et al.	106	31.75	
		5,672,198	9/97	Belmont	106	20R	
		5,698,016	12/97	Adams et al.	106	316	
		5,707,432	1/98	Adams et al.	106	31.6	
		5,713,988	2/98	Belmont et al.	106	31.6	
		5,803,959	9/98	Johnson et al.	106	31.75	
		5,837,045	11/98	Johnson et al.	106	31.85	
		5,851,280	12/98	Belmont et al.	106	472	
		5,885,335	3/99	Adams et al.	106	316	
		5,895,522	4/99	Belmont et al.	106	31.6	
		5,900,029	5/99	Belmont et al.	8	550	
		5,914,806	6/99	Gordon II et al.	359	296	
		5,922,118	7/99	Johnson et al.	106	31.6	
		5,952,429	9/99	Ikeda et al.	525	326.1	
		5,968,243	10/99	Belmont et al.	106	31.65	
		6,042,643	3/00	Belmont et al.	106	472	
		6,150,433	11/00	Tsang et al.	523	160	
		6,221,143	4/01	Palumbo	106	31.6	
		6,221,932	4/01	Moffatt et al.	523	160	
		2001-0003263	6/01	Johnson et al.	106	413	
		6,281,267	8/01	Parazak	523	160	

**EXAMINER****Date Considered**

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation not considered. Include copy of this form with next communication.

\* A copy of this reference is not provided with this response as it was previously submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120.

**FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE**

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02090CIP

10/758,888

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**APPLICANT**

Alexander I. Shakhnovich

(Use Several Sheets if necessary)

**FILING DATE****GROUP**

January 16, 2004

1755

(37 CFR 1.98(b))

**U.S. PATENTS AND PUBLICATIONS**

Examiner Initials	*	Patent or Publication No.	Date	Patentee	Class	Subclass	Filing Date If Appropriate
		6,328,894	12/01	Chan et al.	210	638	
		6,336,965	1/02	Johnson et al.	106	31.6	
		6,398,858	6/02	Yu et al.	106	31.64	
		6,432,194	8/02	Johnson et al.	106	499	
		6,451,103	9/02	Uemura et al.	106	493	
		2002-0147252	10/02	Adams	523	161	
		6,478,863	12/02	Johnson et al.	106	31.6	
		6,494,943	12/02	Yu et al.	106	31.65	
		6,494,946	12/02	Belmont et al.	106	472	
		6,506,245	1/03	Kinney et al.	106	493	
		6,602,335	8/03	Moffatt et al.	106	31.8	
		2003-0195291	10/03	Lamprey et al.	524	495	
		2003-0213410	11/03	Adams et al.	106	499	
		2003-0217672	11/03	Palumbo	106	473	
		6,641,653	11/03	Yu	106	31.6	
		6,641,656	11/03	Yu et al.	106	493	
		6,664,312	12/03	Devonport	523	205	
		2004-0007152	1/04	Palumbo	106	31.6	
		2004-0007161	1/04	Belmont et al.	106	499	
		6,699,319	3/04	Adams et al.	106	476	
		6,723,783	4/04	Palumbo et al.	524	555	
		6,740,151	5/04	Belmont et al.	106	31.6	
		2004-0103822	6/04	Champlin et al.	106	473	
		2004-0163569	8/04	Shakhnovich	106	31.8	
		2004-0206269	10/04	Yu	106	31.6	
		2004-0206275	10/04	Shakhnovich	106	412	

**EXAMINER****Date Considered**

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\* A copy of this reference is not provided with this response as it was previously submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120.

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STATEMENT BY APPLICANT**

**APPLICANT**

Alexander I. Shakhnovich

(Use Several Sheets if necessary)

**FILING DATE****GROUP**

January 16, 2004

1755

(37 CFR 1.98(b))

**FOREIGN PATENTS, DOCUMENTS, OR PUBLISHED FOREIGN PATENT APPLICATIONS**

		Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
		1359459	7/74	GB	C09B	67/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		0 451 094 A1	3/91	EP	C09B	41/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		0 649 883 A1	10/94	EP	C09B	67/22	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		0 677 556 A2	10/95	EP	C09B	67/08	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO00/26304	5/00	PCT	C09B	67/22	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO01/25340	4/01	PCT	C09B	67/20	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		2356634	5/01	GB	C09B	29/33	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		2356886	6/01	GB	C09B	33/04	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		2364322	0/02	GB	C09B	29/33	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO02/94944	11/02	PCT	C09B	67/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

		International Search Report and Written Opinion for PCT/US2004/001113, mailed June 11, 2004

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